

IN FITE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hiroshi Nagai et al.

Serial No.: 10/528,224

Examiner: N/A

Filed: March 18, 2005

Group Art: N/A

For: Functional Foods/Drinks Containing

Antiallergic Component

INFORMATION DISCLOSURE STATEMENT AND PETITION FOR CONSIDERATION UNDER 37 CFR § 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449B

This information is being submitted subsequent to filing and before the mailing of a first office action. Accordingly, pursuant to 37 CFR § 1.97(d), the undersigned submits that no fee is presently due. The listed documents were cited in an Office Action issued in a correspond Japanese Patent Application. Translations of the pertinent parts of each document is included with this statement.

Petition under 37 CFR § 1.97(d)

The undersigned hereby petitions that the Examiner consider the

information cited in this Statement. As this petition is filed after filing of the application

and before mailing of a first office action it is respectfully submitted that no fee is due.

However, if a fee is due please charge the fee to Patent and Trademark Office Deposit

Account No. 50-2828.

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed Form PTO-1449B be returned indicating that

such information has been considered.

I hereby declare that all statements made herein of my own knowledge are

true and that all statements made on information and belief are believed to be true; and

further that these statements were made with the knowledge that willful false statements

and the like so made are punishable by fine or imprisonment, or both, under Section 1001

of Title 18 of the United States Code and that such willful false statements may jeopardize

the validity of the application or any patent issued thereon.

Respectfully submitted,

By:

Broadway, Suite 1510

New York, NY 10018

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Dated: November 9, 2006

Patent Application No.: 10/528,224

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the Upped States Postal Service as Priority Mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioners for Patent, P.O. Box 1450, Alexandria, VA 22313-1450

November 9, 2006

Date

on:

PTO/SB/08b (07-06)

Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

te for form 1449B/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

	Complete if Known	
Application Number	10/528,224	
Filing Date	March 18, 2005	
First Named Inventor	Hiroshi Nagai et al	
Art Unit	N/A	
Examiner Name	N/A	
Attorney Docket Number	1083-7	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		Nippon Shokuhin Kagaku Kogaku Kaishi, Vol. 48, No. 1 (2001), pp. 64-68	✓	
***************************************		Bioscience and Industry, Vol. 57, No. 10 (1999) pp. 691-692		
		J. Agric. Food. Chem., Vol. 47, No. 5. (1999), pp. 1906-1910		
		Shokuhin Kenkyu Seika Jyouhou, National Food Research Institute (Incorporated Administrative Agency), Vol. 14 (28.06.2002), pp. 40-41		
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.